SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	umber: 3235-0287					
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Instruction 1(b).			Filed pursuant to Section 16(a) of the Securities Exchange Act of	nours per	nours per response: 0.5				
	(<i>)</i>		or Section 30(h) of the Investment Company Act of 1940						
1. Name and Address of Reporting Person [*] Vieser Jaime			2. Issuer Name and Ticker or Trading Symbol ZIOPHARM ONCOLOGY INC [ZIOP]] (Check all appli X Direct	icable) or	Person(s) to Issuer 10% Owner			
	C/O ZIOPHARM ONCOLOGY, INC.		3. Date of Earliest Transaction (Month/Day/Year) 09/29/2021	Office below	r (give title)	Other (specify below)			
ONE FIRST AVENUE, PARRIS BLDG 34			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Line)	6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street)				X Form	filed by One R	eporting Person			
BOSTON	MA	02129		Form Perso		han One Reporting			
(City)	(State)	(Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Table 1 Won Benvalve Bebundes Abquired, Bisposed bi, of Benenblary Owned										
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	09/29/2021		Р		100,000	A	\$1.91 ⁽¹⁾	705,321	D	
Common Stock								100,000	I	By UTMA for child
Common Stock								75,000	I	By UTMA for child
Common Stock								75,000	I	By UTMA for child
Common Stock								75,000	I	By UTMA for child

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of Derivative 3A. Deemed Execution Date, 7. Title and Amount of 3. Transaction 5. Number 6. Date Exercisable and 8. Price of 9. Number of 10. 11. Nature Conversion Ownership Expiration Date Derivative derivative of Indirect Date Transaction of Security (Instr. 3) or Exercise Price of Code (Instr. 8) Derivative (Month/Day/Year) if anv (Month/Day/Year) Securities Security Securities Form: Beneficial Ownership (Instr. 4) (Month/Day/Year) Securities Underlying (Instr. 5) Beneficially Direct (D) or Indirect (I) (Instr. 4) Derivative Acauired Derivative Owned Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) Security (Instr. 3 and 4) Security Following Reported Transaction(s) (Instr. 4) Amount or Number Expiration Date (A) v (D) Title Code Exercisable Date Shares

Explanation of Responses:

1. The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$1.90 to \$1.96 inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.

Remarks:

/s/ Kevin Lafond, Attorney-in-Fact 10/04/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.