## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

on, D.C. 20549	OMB APPROVAL

- 1						
	OMB Number:	3235-0287				
	Estimated average bur	rden				
	hours per response:	0.5				

## Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed purcuant to Section 16(a) of the Securities Evolution Act of 1024

moduc	don I(b).			1 110					Investment				1334		Į.			
					2. Issuer Name <b>and</b> Ticker or Trading Symbol ZIOPHARM ONCOLOGY INC [ ZIOP ]								Relationship heck all appl X Direct			rson(s) to Issuer		
C/O THIRD SECURITY, LLC  1881 GROVE AVENUE						8. Date of Earliest Transaction (Month/Day/Year) 05/31/2018								below	,	o Eiline	Other (s below)	. ,
(Street)	RD V	A :	24141		4. 11	IAIIIe	Amendment, Date of Original Filed (Month/Day/Year)							Individual or Joint/Group Filing (Check Applicable ne)  Form filed by One Reporting Person  Form filed by More than One Reporting Person				n
(City)	(Si		(Zip)	Davis	- 4:							-f D-		ller Orena				
1. Title of Security (Instr. 3)			2. Transa Date (Month/I	action	2A. Deem Execution	. Deemed ecution Date,		tion	4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4) 5)		red (A) or	5. Amor d Securiti Benefic Owned	unt of es ially Following	Form (D) o	: Direct r Indirect str. 4)	7. Nature of Indirect Beneficial Ownership		
									Code	v	Amount	(A) o (D)	Price	rice Reported (Instr. 4) Transaction(s) (Instr. 3 and 4)				(instr. 4)
		Т							uired, Di s, options					y Owned				
1. Title of Derivative Conversion Date SA. Deemed 4. Trans			Transa Code (								f s g Security	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficial Owned Following Reported Transactic (Instr. 4)	ly	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership ect (Instr. 4)		
					Code	v	(A)	(D)	Date Exercisable		xpiration ate	Title	Amount or Number of Shares					
Series 1 Preferred Stock	(1)	05/31/2018			J		1,245		(1)		(1)	Common Stock	(1)	(2)	125,745	(2)	I	by Intrexon <sup>(3)</sup>
	nd Address of	Reporting Person*					•			•		•	,	,	*			,

1. Name and Address of Reporting Person*							
KIRK RANDAL J							
(Last)	(First)	(Middle)					
C/O THIRD SECURITY, LLC							
1881 GROVE AVENUE							
(Street)							
RADFORD	VA	24141					
(City)	(State)	(Zip)					
1. Name and Address of Reporting Person*							
INTREXON CORP							
(Last)	(First)	(Middle)					
C/O LEGAL DEPARTMENT							
20374 SENECA MEADOWS PARKWAY							
(Street)							
GERMANTOWN	MD 20876						
(City)	(State) (Zip)						

## **Explanation of Responses:**

1. Each share of Series 1 Preferred Stock ("Preferred Stock") has a stated value of \$1,200, subject to appropriate adjustment in the event of any stock dividend, stock split, combination or other recapitalization with respect to the shares of Preferred Stock. The shares of Preferred Stock shall automatically convert into shares of the issuer's common stock upon the date of commercialization of a product pursuant to the collaboration between Intrexon Corporation ("Intrexon") and the issuer, subject to conversion limitations set forth in the Securities Issuance Agreement entered into between the issuer and Intrexon on June 29, 2016 (the "Issuance Agreement"). The conversion price in connection with such automatic conversion shall be determined as set forth in the Amended and Restated Certificate of Designation, Preferences and Rights of Series 1 Preferred Stock, which the issuer has filed as an exhibit to its Amendment to Current Report on Form 8-K/A, dated July 1, 2016.

<sup>2.</sup> Intrexon received the shares of Preferred Stock as payment-in-kind dividends in connection with its ownership of shares of Preferred Stock. The holders of Preferred Stock are entitled to receive a monthly

dividend payable in additional shares of Preferred Stock equal to \$12.00 per share of Preferred Stock per month (subject to adjustment), divided by the stated value of \$1,200, rounded down to the nearest whole share.

3. Randal J. Kirk, directly and through certain affiliates, is the largest shareholder of Intrexon and serves as Intrexon's Chairman of the Board and Chief Executive Officer. Mr. Kirk may therefore be deemed to have voting and dispositive power over the shares of the issuer owned by Intrexon. Shares held by Intrexon may be deemed to be indirectly beneficially owned (as defined under Rule 13d-3 promulgated under the Securities Exchange Act of 1934, as amended) by Mr. Kirk. Mr. Kirk disclaims beneficial ownership of such shares, except to the extent of any pecuniary interest therein.

<u>/s/ Randal J. Kirk</u> <u>06/04/2018</u> <u>/s/ Randal J. Kirk, CEO of</u> <u>06/04/2018</u>

Intrexon Corporation 06/04/2018

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.