SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

\$2.73

\$<mark>0.7</mark>

Stock Option (right to buy)

Stock Option (right to buy)

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL										
OMB Number: 3235-0287										
Estimated average burden										
hours per response:	0.5									

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U obliga	n 16. Form 4 or tions may conti ction 1(b).			Fi							ties Exchan ompany Act		1934			11		erage burder sponse:	n 0.5
1. Name and Address of Reporting Person [*] MCINERNEY TIMOTHY						2. Issuer Name and Ticker or Trading Symbol ZIOPHARM ONCOLOGY INC [ZIOP]									ationship c k all applic Directo	,			
(Last) (First) (Middle) ONE COVENTRY LANE						3. Date of Earliest Transaction (Month/Day/Year) 09/15/2009									Officer below)	(give title		Other (s below)	specify
(Street) HOPEWELL NJ 08525							nendment,	Date	of Origina	al File	d (Month/Da	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					n		
(City)	(S	tate)	(Zip)																
Table I - Non-Deriva 1. Title of Security (Instr. 3) 2. Transaction 2. Month/Day 2. Month/Day						ar)	ecuritie 2A. Deeme Execution if any (Month/Da	ed Date,	3. Transa Code (action	4. Securiti	es Acquire	or Beneficially Acquired (A) or (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially		: Direct r Indirect Istr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
									Code	v	Amount (A) (D)		Pric	e	Transaction(s) (Instr. 3 and 4)				(1130. 4)
Common	Common Stock, \$.001 par value				5/2009	9		Р		50,000	A	\$ <mark>1</mark> .	825 ⁽⁶⁾	128,205			D		
		-	Table II								osed of, convertil				Owned				
1. Title of Derivative Security (Instr. 3) 2. 3. Transaction Date Price of Derivative Security 3A. Deemed Execution (Month/Day/Year) 3A. Deemed Execution Date (Month/Day/Year)			n Date,	4. Transactio Code (Inst 8)		5. Number on of		6. Date Exercisa Expiration Date (Month/Day/Yea		able and 7. Title and Ar of Securities		ties ng e Secu		Derivative d Security S Instr. 5) E F F T	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercisa	able	Expiration Date	Title	Amo or Num of Shai	ber					
Warrants to Purchase Common Stock	\$ 4.75								09/13/2	005	05/31/2012	Common Stock, \$.001 par value	20	767		20,763	7	D	
Director Stock Option (right to buy)	\$4.31								(1)		07/20/2015	Common Stock, \$.001 par value	15)29		15,029	9	D	
Director Stock Option (right to buy)	\$5.01								04/26/2	006	04/26/2016	Common Stock	15,	000		15,000	D	D	
Warrants to Purchase Common Stock	\$5.09								05/03/2	006	05/03/2013	Common Stock, \$.001 par value	00	737		80,73	7	D	
Director Stock Option (right to buy)	\$6.49								(2)		12/13/2016	Common Stock	15,	000		15,000	D	D	
Warrant	\$5.75								02/23/2	007	02/23/2012	Common Stock	35,	739		35,739	9	D	
Stock Option (right to buy)	\$4.85								(3)		06/18/2017	Common Stock	15,	000		15,000	D	D	

(4)

(5)

12/12/2017

05/13/2019

Common Stock

Common Stock

20,000

15,000

20,000

15,000

D

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title an of Securit Underlyin Derivative (Instr. 3 ar	ies g Security	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code			Date Exercisable	Expiration Date	Amount or Number of Shares						
Warrants to purchase common stock	\$2.04	09/15/2009		Р		50,000		09/15/2009	09/15/2014	Common Stock, \$.001 par value	50,000	(6)	50,000	D	
Warrants to purchase common stock	\$2.04	09/15/2009		J ⁽⁷⁾		40,298		09/15/2009	09/15/2014	Common Stock	40,298	(7)	40,298	D	

Explanation of Responses:

1. 7,515 shares vest on 7/20/06 and 7,514 shares vest on 7/20/07.

 $2.\ 5{,}000\ shares$ vest on each of 12/13/07, 12/13/08 and 12/13/09.

3. 5,000 shares vest on each of 6/18/08, 6/18/09 and 6/18/10.

4. 6,667 shares vest on each of 12/12/08 and 12/12/09; 6,666 shares vest on 12/12/10.

5. 5,000 shares vest on each of 12/31/09, 6/30/2010 and 12/31/2010.

6. Shares and warrants purchased as Units in private placment offering.

7. Warrant issued for services rendered in connection with private placement.

<u>/s/ Timothy McInerney</u>

** Signature of Reporting Person

09/17/2009

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.